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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Yu Gong) Confirmation No.: 6676
Serial No.: 10/783,779) Examiner: Leon Jonathan Harper
Filed on: February 20, 2004) Group Art Unit No.: 2166

For: MODULARIZED EXTRACTION, TRANSFORMATION, AND LOADING FOR A
DATABASE

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form, if needed. Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):



37 C.F.R. §1.97(b). It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).



37 C.F.R. §1.97(c). If so, then this Information Disclosure Statement includes one of the following:



A statement pursuant to 37 C.F.R. §1.97(e)

☐ 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

☐ 1.97(e)(2) The undersigned hereby states that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

☐ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

☐ 37 C.F.R. §1.97(d). If so, then this Information Disclosure Statement includes the following:

☐ A statement pursuant to 37 C.F.R. §1.97(e)

☐ 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement; OR

☐ 1.97(e)(2) The undersigned hereby states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

AND



A check for \$180.00 for the fee under 37 C.F.R. §1.17(i) for submission of the Information Disclosure Statement.

It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).



37 C.F.R. §1.97(i). Applicants are submitting references to satisfy Applicants' disclosure obligations in hopes that the references will be considered by the Examiner. Although the submission does not fully meet 37 C.F.R. §1.97, Applicant respectfully requests that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to Applicant(s). It is understood that if the Examiner does not consider the cited references, the cited documents will be placed in the file pursuant to 37 C.F.R. §1.97(i).

Accordingly, copies of the references as listed on the attached Form PTO 1449 are submitted herewith. No certification or fees are deemed necessary.

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

Dated: August 9, 2006



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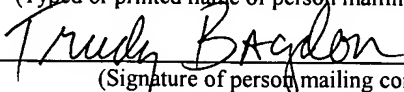
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Trudy Bagdon

(Typed or printed name of person mailing correspondence)



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INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(PTO-1449)



ATTY. DOCKET NO.
50277-2334

SERIAL NO.
10/783,779

APPLICANT:
Yu Gong

FILING DATE:
February 20, 2004

GROUP:
2166

U.S. PATENT DOCUMENTS

Exam. Initial*	U.S. Patent Document No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (If known)			
		5,454,101		Mackay et al.	09-1995	
		5,491,810		Steven C. Allen	02-1996	
		5,551,020		Flax et al.	08-1996	
		5,687,343		Fecteau et al.	11-11-1997	
		5,692,174		Bireley et al.	11-1997	
		5,717,915		Stolfo et al.	02-1998	
		5,758,345		James Chien Wang	05-1998	
		5,787,445		Dabeko	07-1998	
		5,787,446		Dang et al.	07-1998	
		5,787,471		Inoue et al.	07-1998	
		5,822,749		Brijesh Agarwal	10-1998	
		5,832,509		Mortis et al.	11-1998	
		5,937,408		Shoup et al.	08-10-1999	
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		5,951,694		Choquier et al.	09-14-1999	
		5,970,502		Salkewicz et al.	10-19-1999	
		6,014,614		Herring et al.	01-11-2000	
		6,032,158		Mukhopadhyay et al.	02-29-2000	
		6,035,298		Stephen McKearney	03-2000	
		6,041,357		Kunzelman et al.	03-21-2000	
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		6,101,497		Ofek	08-08-2000	
		6,108,657		Shoup et al.	08-22-2000	
		6,122,636		Malloy et al.	09-19-2000	

Examiner
Signature

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE CITATION IN AN APPLICATION (PTO-1449)				ATTY. DOCKET NO. 50277-2334		SERIAL NO. 10/783,779	
				APPLICANT: Yu Gong			
				FILING DATE: February 20, 2004		GROUP: 2166	
U.S. PATENT DOCUMENTS							
Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number	Kind Code ² (If known)				
		6,247,008		Cambot et al.	06-2001		
		6,272,503	B1	Bridge, Jr. et al.	08-07-2001		
		6,484,179	B1	Roccaforte	11-19-2002		
		6,604,104	B1	Smith	08-05-2003		
		6,757,689	B2	Battas et al.	06-29-2004		
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		2003/005028	A1	Dritschler et al.	01-02-2003		
		2005/0256908	A1	Wanli Yang et al.	11-17-2005		

FOREIGN PATENT DOCUMENTS								
Exam. Initial*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (If known)				
		WO	02/007037	A	Galactic Computing Corporation	01-24-2002		
		WO	03/062983	A2	Sun Microsystems, Inc.	07-31-2003		
		WO	03/014928	A2	International Business Machines Corporation	02-20-2003		
		EP	1 170 662	A2	Hitachi, Ltd.	01-09-2002		
		EP	0 942 363	A2	International Business Machines Corporation	09-15-1999		

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	APPLICANT: Yu Gong	
	FILING DATE: February 20, 2004	GROUP: 2166

OTHER ART – NO PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation ²
		International Searching Authority, "Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, or the Declaration," PCT/US2004/026389, August 4, 2005, 13 pages.	
		Jeffrey S. Chase et al., "Dynamic Virtual Clusters in a Grid Site Manager," Proceedings of the 12 th IEEE International Symposium on High Performance Distributed Computing (HPDC'03), 2003, IEEE, pp. 90-100	
		European Patent Office, "International Preliminary Report on Patentability," August 26, 2005, International Application No. PCT/US2004/026570, 9 pages.	
		International Searching Authority, "Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, or the Declaration," PCT/US2004/026445, August 25, 2005, 12 pages.	
		International Searching Authority, "Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, or the Declaration," PCT/US2004/026405, January 6, 2006, 13 pages.	
		Henry Song et al., "Browser State Repository Service," Lecture Notes in Computer Science, Vol. 2414, 2002, pp. 1-14, XP002904339.	
		Eric Skow et al., "A Security Architecture for Application Session Handoff," 2002, IEEE International Conference Proceedings, April 28-May 2, 2002, Vol. 1 or 5, pp. 2058-2063, XP010589848.	
		Claus Hagen, et al., "Highly Available Process Support Systems: Implementing Backup Mechanisms," 1999, IEEE, pp. 112-121.	
		IBM Corp., "Conditional Container Reuse for Database and Tablespace Restore," IBM Technical Disclosure Bulletin, Vol. 39, No. 12, December 1996, XP-002240529, pp. 199-200.	

Examiner Signature	Date Considered
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OTHER ART – NO PATENT LITERATURE DOCUMENTS

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		R. W. Dey, et al., IBM Corp., "DATA SPACE MANAGER," IBM Technical Disclosure Bulletin, Vol. 25, No. 11A, April 1983, XP-000714243, pp. 5456-5459.	
		Oracle Corporation, "Advanced Analytic Services," 1996-2001, Oracle9i Warehousing Guide, Release 1 (9.0.1), Part Number A90237-01, http://download-west.oracle.com/docs/cd/A91202_01/901_doc/server.901/a90237 , data retrieved 8/6/2004, pp. 1-5.	
		Oracle Corporation, "Transforming Tables into Multidimensional Data Structures," 1996-2001, Oracle9i OLAP Services Concepts and Administration Guide, Release 1 (9.0.1), Part Number A88755-01, http://download-west.oracle.com/docs/cd/A91202_01/901_doc/olap.901/a88755/ , data retrieved 8/6/2004, pp. 1-3.	
		Oracle Corporation, "Understanding the OLAP API," 1996-2001, Oracle9i OLAP Services Concepts and Administration Guide, Release 1 (9.0.1), Part Number A88755-01, http://download-west.oracle.com/docs/cd/A91202_01/901_doc/olap.901/a88755/ , data retrieved 8/6/2004, pp. 1-3.	
		Oracle Corporation, "Controlling the Flow of Execution," 1996-2001, Oracle9i OLAP Services Developer's Guide to the OLAP DML, Release 1 (9.0.1), Part Number A86720-01, http://download-west.oracle.com/docs/cd/A91202_01/901_doc/olap.901/a86720/ , data retrieved 8/6/2004, pp. 1-4.	

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